



Sheet 1 of 1 FORM-PTO 1449 ² (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: October 3, 2006		INFORMATION DISCLOSURE STATEMENT	
ATTY DOCKET NO. 2006_1328A		SERIAL NO. 10/591,164	
APPLICANT Naoki NAGAHARA et al.		FILING DATE August 30, 2006	
GROUP			

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
AJ	02/39980	5/2002	WO				
AK	0 818 195	1/1998	EP		Corresponds to WO96/29996		
AL	0 882 449	12/1998	EP		Corresponds to JP 11-049668		
AM	2004/035020	4/2004	WO				
AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
AO	New Product Development, CAPSUGEL, January 2004 (with its English translation).
AP	Web-site of http://www.qualicaps.co.jp/cap_peg.html dated January 21, 2004 (with its partial English translation).
AQ	

EXAMINER /Paul Dickinson/	DATE CONSIDERED 01/25/2008
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./

Rec'd PCT/PTO 30 AUG 2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: August 30, 2006

ATTY DOCKET NO.
2006_1328ASERIAL NO.
NEW

10/591164

APPLICANT
Naoki NAGAHARA et al.FILING DATE
August 30, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0219478	11/2003	Ohnuki et al.			Corresponds to AI
	AB	2004/0158038	8/2004	Sugimoto et al.			Corresponds to AK
	AC						
	AD						
	AE						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	6-157915	6/1994	JP			Abstract
	AG	6-72862	3/1994	JP			Abstract
	AH	3-80930	4/1991	JP			Abstract
	AI	2003-313125	11/2003	JP			
	AJ	2003-313120	11/2003	JP			Abstract
	AK	02/088246	11/2002	WO			Abstract
	AL	1 072 633	1/2001	EP			Corresponds to AM
	AM	2003-505565	2/2003	JP			NO
	AN	2000-202003	7/2000	JP			Abstract
	AO	5-65222	3/1993	JP			Abstract
	AP	1 459 737	9/2004	EP			Corresponds to AQ
	AQ	03/032953	4/2003	WO			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER /Paul Dickinson/

DATE CONSIDERED 01/25/2008

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Sheet 2 of 2		INFORMATION DISCLOSURE STATEMENT		IAP5 Rec'd PCT/PTO 30 AUG 2006			
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: August 30, 2006			ATTY DOCKET NO. 2006_1328A		SERIAL NO. NEW 10/591164		
			APPLICANT Naoki NAGAHARA et al.				
			FILING DATE August 30, 2006		GROUP		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BA	2002/0054913	Heese et al.			Corresponds to BF	
	BB	6,228,400	Lee et al.			Corresponds to BH	
	BC						
	BD						
	BE						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	BF	2000-514051	JP			NO	
	BG	2000-212085	JP			Abstract	
	BH	2003-520225	JP				
	BI						
	BJ						
	BK						
	BL						
	BM						
	BN						
	BO						
	BP						
	BQ						
	BR						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	BS						
EXAMINER /Paul Dickinson/				DATE CONSIDERED 01/25/2008			

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